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November 2, 2001

Attorney Docket No.: 12361-014002

Box Reissue

Commissioner for Patents
Washington, DC 20231

Presented for filing is a broadening reissue patent application of US Patent No. 5,978,125, issued November 2, 1999 of:

Applicant: X. STEVE YAO

Title: COMPACT PROGRAMMABLE PHOTONIC VARIABLE DELAY DEVICES

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

- ☒ Fee Transmittal Form (PTO/SB/56) in duplicate
- ☒ Specification and Claims in double column copy of patent format (amended, if appropriate)
- ☒ Drawing(s) (proposed amendment, if appropriate)
- ☒ Reissue Combined Oath/Declaration/Power of Attorney
- ☒ Written Consent of all Assignees
- ☒ 37 C.F.R. §3.73(b) Statement
 - ☒ Copy of executed Assignment with PTO-stamped return receipt postcard
- ☐ Original U.S. Patent for surrender
 - ☐ Ribbonded Original Patent Grant
 - ☐ Statement of Loss
- ☐ Foreign Priority Claim (if applicable)
- ☒ Information Disclosure Statement (IDS) ☒ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL858853415US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit November 2, 2001

Signature

Richard E. Donovan, Jr.
Typed or Printed Name of Person Signing Certificate

JC962 U.S. PTO
11/02/01
Frederick P. Fish
1855-1930
W.K. Richardson
1859-1951

JC986 U.S. PTO
10/005745
11/02/01

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PTO/SB/56 (12-97)

Approved for use through 9/30/00. OMB 0651-0033

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REISSUE APPLICATION FEE TRANSMITTAL FORM

DOCKET NUMBER (Optional)
12361-014002

Claims as Filed - Part 1

Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity			Other than a Small Entity	
				Rate	Fee		Rate	Fee
(A)	Total Claims (37 CFR 1.16(j))	(B)	"	x \$	=		x \$	=
(C)	Independent Claims (37 CFR 1.16(i))	(D)	"	x \$	=	or	x \$	=
Basic Fee (37 CFR 1.16(h))					\$370			\$
Total Filing Fee					\$370	OR		\$

Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity			Other than a Small Entity	
					Rate	Fee		Rate	Fee
Total Claims (37 CFR 1.16(j))	50	MINUS	** 30	* = 20	x \$ 9 =	\$180	or	x \$ =	
Independent Claims (37 CFR 1.16(i))		MINUS	*****	=	x \$ =			x \$ =	
Total Additional Fee					\$180		OR		\$

* If the entry in (D) is less than the entry in (C), write "0" in column 3.

** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space

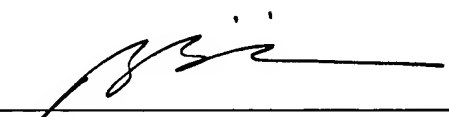
*** After any cancellation of claims

**** If "A" is greater than 20, use (B-A); If "A" is 20 or less, use (B-20).

***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (c).

- ☐ Please Charge Deposit Account No. _____ in the amount of _____
A Duplicate Copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 06-1050
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ 550 to Cover the filing/additional fee is enclosed.

November 2, 2001
Date


Signature of Applicant, Attorney or Agent of Record

Scott H. Harris, Registration No. 32,030
Typed or Printed Name

Bing ai
Reg. 43,312

Burden Hour Statement: This form is estimated to take 0.05 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231

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November 2, 2001

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This reissue application is entitled to small entity status.

Basic filing fee	\$370
Total new claims (20 times \$9)	\$180
New Independent claims (times \$42)	\$ 0
Fee for multiple dependent claims	\$ 0
Total filing fee:	\$550

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number 12361-014002.

This application is a broadening reissue application and is filed within 2 years from the issue date, November 2, 1999, of the original U.S. patent no. 5,978,125.

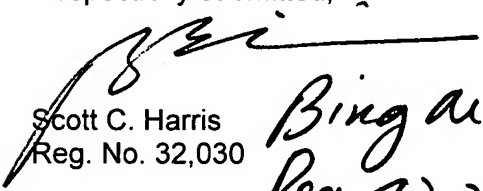
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call Bing Ai at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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San Diego, California 92122

Respectfully submitted,


Scott C. Harris
Reg. No. 32,030

Enclosures
SCH/sxg

10144329.doc

Bing Ai
Reg. 73,312

1005745-11001